



Complete if Known

ŔANSMITTAL Application Number 10/686,410 for FY 2005 Filing Date October 14, 2003 Patent fees are subject to annual revision. First Named Inventor Eshwari P. Komarla Examiner Name Not assigned Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2:131 **TOTAL AMOUNT OF PAYMENT** Attorney Docket No. 42P17160 METHOD OF PAYMENT (check all that apply) Check Credit card Money Order None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. FEE CALCULATION Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath 50 2052 1052 ²⁵ Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2.160 2255 1,080 Extension for reply within fifth month 1401 500 2401 ²⁵⁰ Notice of Appeal 2402 1402 Filing a brief in support of an appeal 1403 2403 Request for oral hearing 1451 2451 Petition to institute a public use proceeding 1460 2460 Petitions to the Commissioner 1807 50 1807 ⁵⁰ Processing fee under 37 CFR 1.17(g) 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify) SUBTOTAL (2) SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Mark L. Watson, Telephone (303) 740-1980 46,322 (Attorney/Agent) 11/10/05 Signature Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

ESHWARI P. KOMARLA, ET AL.

Application No.: 10/686,410

Filed: October 14, 2003

For: DATA SECURITY

Art Group: 2131

Examiner: Not assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated October 24, 2005 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

Blakely Soko Off, Taylor & Zafman LLP

Date: November 10, 2005

Mark L. Watson, Reg. No. 46,322

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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11-10-05

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 **of** 2

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Complete if Known					
Application Number	10/686,410	_			
Filing Date	October 14, 2003				
First Named Inventor	Eshwari P. Komarla				
Art Unit	2131	_			
Examiner Name	Not assigned				
Attorney Docket Number					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2003/084290 A1	05-01-2003	Kumar et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Cite	Foreign Patent Document			Pages, Columns, Lines,	т6	
Initials*	No.1	Country Code' - Number' - Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Examiner	Date
Signature	Considered

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). ¹See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ¹Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ¹Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ¹Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE				Complete if Known		
			CUDE	Application Number	10/686,410	
INFO	RIVIATION	JISCEC	SURE	Filing Date	October 14, 2003	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Eshwari P. Komarla	
		Art Unit	2131			
	<u> </u>	1 1		Examiner Name	Not assigned	
Sheet	2	of	2	Attorney Docket Number	42P17160	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		Patterson, D.A. et al., "A Case for Redundant Arrays of Inexpensive Disks (RAID)", June 1, 1988, pages 109-116, XP000996447.			
		PCT Search Report, PCT/US2004/033068, mailed October 24, 2005.			
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Examiner	Date	
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Signature	Considere	ea

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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